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#### In the United States Patent and Trademark Office

Patent No.

US 6,998,086 B2

Issued:

February 14, 2006

Inventor:

Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael

J. Bott; Andrew M. Lake; Shelley R. Rasmussen; Rodney L. Abba

Title:

MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD

# REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE (37 C.F.R. §1.322(a))

ATTN: Certificate of Correction Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate

MAR 0 8 2007

of Correction

#### **CERTIFICATE OF TRANSMISSION**

I hereby certify that the attached correspondence comprising:

Request For Certificate Of Correction Of Patent For PTO Mistake (37 C.F.R. §1.322(a)) – 1 page

Wistake (37 C.F.R. §1.322(a)) – 1 page

Certificate of Correction (in duplicate) – 2 pages

Transmital Letter dated September 19, 2003 – 2 pages

**Combined Declaration and Power of Attorney – 5 pages** 

Certificate of Transmission with attachment "Request For Corrected Filing Receipt" (Stamped by USPTO on January 14,

2004) - 3 pages

15 total pages, including these pages

MAR - 8 2007

#### **CERTIFICATE OF MAILING**

I, Judith M. Anderson, hereby certify that on March 2, 2007 this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Bv:

Judith M. Anderson



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.

US 6,998,086 B2

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Inventor:

Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael

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## REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE (37 C.F.R. §1.322(a))

ATTN: Certificate of Correction Branch

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Sir:

- 1. Attached in duplicate is Form PTO/SB/44 with at least one copy being suitable for printing.
- 2. Please send the Certificate to David J. Arteman at Kimberly-Clark Worldwide, Inc., 401 North Lake Street, Neenah, WI 54956.
- 3. It is believed no fee is required since the correction is due to PTO mistake. If a fee is required, please charge to Account No. 11-0875. The relevant facts are summarized below.
  - Yung-Kun Chen signed page 5 of the "Combined Declaration and Power of Attorney" that was submitted with the utility patent application designated 10/666367 by the USPTO and granted as U.S. Patent 6,998,086. Application 10/666367 was received and stamped by the USPTO on 09/19/03 and is available via PAIR. A copy of the transmittal letter and the "Combined Declaration and Power of Attorney" showing Yung-Kun Chen's signature is also attached herewith.
  - The Filing Receipt did not list Yung-Kun Chen as an inventor. A "Request for Corrected Filing Receipt" to correct this mistake was received by the USPTO on January 14, 2004 but generated no response. A copy of the request is available via PAIR and a copy is attached herewith.
  - Inventor Yung-Kun Chen was not named as an inventor on U.S. Patent 6,998,086.

Therefore, the mistake is clearly disclosed in the records of the Office and the assignee requests correction pursuant to 37 CFR 1.322 and 35 U.S.C. 254.

Respectfully submitted,

KIMBERLY-CLARK WORLDWIDE, INC. 401 North Lake Street Neenah, Wisconsin 54956

David J. Arteman

Attorney for Assignee Registration No. 44,512 K-C Docket No.: 18715

Date: March 2, 2007 Telephone: (920) 721-3016 MAR - 8 2007.

(Also Form PTO-1050)

#### UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO

: US 6,998,086 B2

DATED

: February 14, 2006

INVENTOR(S): Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael J. Bott;

Andrew M. Lake; Shelley R. Rasmussen; Rodney L. Abba

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the first page, in the Inventor Section, after

-- Rodney Lawrence Abba, Oshkosh, WI (US) --

Insert

-- Yung-Kun Chen, Hsichih Hsiang (Taiwan, Republic of China) --

MAILING ADDRESS OF SENDER:

Kimberly-Clark Worldwide, Inc. 401 N. Lake Street

Neenah, WI 54956

PATENT NO. 6,998,086 B2 \_\_\_\_\_\_

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

#### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : US 6,998,086 B2 DATED : February 14, 2006

INVENTOR(S): Jesse J. Pasterski; Yung-Kun Chen; WenTong Lay; Marcus D. Weiher; Michael J. Bott;

Andrew M. Lake; Shelley R. Rasmussen; Rodney L. Abba

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the first page, in the Inventor Section, after

-- Rodney Lawrence Abba, Oshkosh, WI (US) --

Insert

-- Yung-Kun Chen, Hsichih Hsiang (Taiwan, Republic of China) --

MAILING ADDRESS OF SENDER:

Kimberly-Clark Worldwide, Inc. 401 N. Lake Street Neenah, WI 54956

PATENT NO. 6,998,086,B2 \_\_\_\_\_\_.

No. of additional copies

Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Applicants:

Jesse James Pasterski; Wen Tong

Docket No.:

18715

**C** 5

Applicants.

Lay; Marcus David Weiher; Michael John Bott; Andrew Michael Lake; Shelley Rae Rasmussen; Rodney

Lawrence Abba; Yung-Kun Chen

Filed:

Herewith

Date:

September 19, 2003

For:

"MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD"

Express Mail Label No.:

EU838790512US

# Transmittal Letter New Utility Patent Application under 37 C.F.R. 1.53(b) Plural Inventors

Mail Stop Patent Application Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450 CUSTOMER NUMBER 23556

Dear Sir:

Transmitted herewith for filing is the above-identified new utility patent application.

#### Enclosed are:

- 1. This Transmittal Form including Fee Authorization and a duplicate for fee processing.
- A Specification having a total of 31 pages, including a one (1) page Abstract and Twenty (20) claims.
- 3. Fifteen (15) sheets of drawings.
- 4. A Combined Declaration and Power of Attorney.
- An assignment of this invention, including a 37 CFR 3.73(b) statement, to Kimberly-Clark Worldwide, Inc. and completed Form PTO 1595 Recordation Form Cover Sheet. Please charge the \$40.00 assignment recording fee, pursuant to 37 C.F.R. 1.21(h), to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.
- 6. And, if indicated:

A preliminary amendme
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An Information Disclosure Statement, PTO 1449 and copies of the documents (excluding pending applications) cited therein. Pending applications are recited on a separate sheet to avoid their being printed on the face of any patent issuing herefrom.

The filing fee, pursuant to 37 C.F.R. 1.16(a), (b), (c) and (d), has been calculated as follows:

Nu	mb r Filed			Number Extra	Rate	Fe
Total Claims	20	-20	=	0	x 18 (fee code 1202)=	\$0
Independent Claims	4	-3	=	1	x 84 (fee code 1201) =	\$84.00
First Presentation of M	ultiple Depend	ent Cla	ims		+ 280 (fee code 1203) =	
					Base Fee (fee code 1001)	\$750.00
					TOTAL	\$834.00

Please charge Kimberly-Clark Worldwide, Inc. deposit account number 11-0875 for (1) the filing fee indicated in the TOTAL above; and (2) any patent application processing fees required under 37 C.F.R. 1.17. In the event the filing fee indicated in the TOTAL above has been incorrectly calculated, please charge any additional filing fee or credit any excess in the filing fee to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

Please address all correspondence to the correspondence address associated with Customer Number 23556.

The undersigned may be reached at telephone number (920) 721-2435 or fax number (920) 721-0279. Respectfully submitted,

JESSE J. PASTERSKI ET AL.

Bv:

Paul Y Yee

Registration No.: 29,460

**CERTIFICATE OF MAILING** 

I, Judith M. Anderson, hereby certify that on September 19, 2003 this document is being deposited with the United States Postal Service, postage prepaid, Express Mail No. EU 838790512 US in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Bv

Judith M. Anderson
Judith M. Anderson

#### In the United States Patent and Trademark Office

Applicants:

Jesse J. Pasterski et al.

Docket No.:

18715

Serial No.:

Unknown

Group:

Unknown

Confirmation No:

Unknown

Examiner:

Unknown

Filed:

Herewith

For:

"MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD"

**Express Mail** 

EU 838790512 US

# Combined Declaration and Power of Attorney Original U.S. Patent Application Joint Inventors

Mail Stop Patent Application Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450 CUSTOMER NUMBER 23556

Sir:

As the below-named inventors, we hereby declare that:

Our respective residence addresses, post office addresses and citizenship designations are as stated below, next to our names.

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought in the patent application entitled:

#### "MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD"

the specification of which is attached hereto.

We hereby state that each of us has reviewed and understands the contents of the above-identified specification, including the claims and any accompanying drawings as amended by any amendment specifically referred to in the oath or declaration.

We acknowledge our duty to disclose all information which is material to the patentability of this application as defined by 37 C.F.R. 1.56.

As the named inventors, we hereby appoint the attorneys and/or agents associated with Customer Number 23556 to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

We direct that all correspondence be addressed to the correspondence address associated with Customer Number 23556.

Our representative may be reached at: (920) 721-2435.

We hereby declare that:

1. All statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and

1

First Named Inventor's Full Name:

 These statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Jesse James Pasterski

First Named Inventor's Signature:  Date of Execution of this Document by First Named Inventor:  First Named Inventor's Country of Citizenship:	Date: 9-8-03 United States of America
First Named Inventor's Residence Address:	N1041 Pebble Ridge Road Greenville, WI 54942
First Named Inventor's Post Office Address:	Same as Residence Address
Second Named Inventor's Full Name:	Wen Tong Lay
Second Named Inventor's Signature:  Date of Execution of this Document by Second Named Inventor:  Second Named Inventor's Country of Citizenship:	Men (2 ng Lef) Date: 9/10/03 Taiwan, Republic of China
Second Named Inventor's Residence Address:	76 Bellevue Place Appleton, WI 54913
Second Named Inventor's Post Office Address:	Same as Residence Address
Third Named Inventor's Full Name:	Marcus David Weiher
Third Named Inventor's Signature:  Date of Execution of this Document by Third Named Inventor:  Third Named Inventor's Country of Citizenship:	Date: 9/11/0-3 United States of America
Third Named Inventor's Residence Address:	W4851 Black Cherry Court Sherwood, WI 54169
Third Named Inventor's Post Office Address:	Same as Residence Address
Fourth Named Inventor's Full Name:	Michael John Bott
Fourth Named Inventor's Signature:  Date of Execution of this Document by Fourth Named Inventor:  Fourth Named Inventor's Country of Citizenship:	Date: 9/1/03 United States of America
Fourth Named Inventor's Residence Address:	385 Sunnybrook Drive Oshkosh, WI 54904
Fourth Named Inventor's Post Office Address:	Same as Residence Address

Fifth Named Inventor's Full Name:

Sixth Named Inventor's Post Office Address:

Andrew Michael Lake Fifth Named Inventor's Signature: Date of Execution of this Document by Fifth Named Inventor: Fifth Named Inventor's Country of Citizenship: United States of America 101 East Kennedy Avenue Fifth Named Inventor's Residence Address: Kimberly WI 54136 Fifth Named Inventor's Post Office Address: Same as Residence Address Sixth Named Inventor's Full Name: Shelley Rae Rasmussen Sixth Named Inventor's Signature: Date of Execution of this Document by Sixth Named Inventor: Sixth Named Inventor's Country of Citizenship: United States of America 3297 Creek Side Drive Sixth Named Inventor's Residence Address: Oshkosh, WI 54904

Same as Residence Address

Seventh Named Inventor's Full Name:

Seventh Named Inventor's Signature:

Date of Execution of this Document by Seventh Named Inventor:

Seventh Named Inventor's Country of Citizenship:

Seventh Named Inventor's Residence Address:

Seventh Named Inventor's Post Office Address:

Rodney Lawrence Abba

Date: Scotenber 9, 20

United States of America

3645 Shangrila Point

Oshkosh, WI 54904

Same as Residence Address

Eighth Named Inventor's Full Name:

Eighth Named Inventor's Signature:

Date of Execution of this Document by Eighth Named Inventor:

Eighth Named Inventor's Country of Citizenship:

Eighth Named Inventor's Residence Address:

Eighth Named Inventor's Post Office Address:

Yung-Kun Chen

Yung-Kun Chen

Taiwan, Republic of China

5F, No.6, 141 Lane, Fu-De 1 Road, Hsichih

Hsiang, Taipei County

Taiwan, Republic of China

Same as Residence Address

MAR - 8 2007.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED CENTRAL FAX CENTER

Applicant:

Jesse James Pasterski et al.

Docket No.: 18715

JAN 1 4 2004

Serial No.:

10/666,367

Group No.: Examiner: 1722

Unknown

Confirmation No.: 8510
Filed: Septe

September 19, 2003

For:

MULTI-SEGMENTED EMBOSSING APPARATUS AND METHOD

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. 1.8(a)

I hereby certify that the attached correspondence comprising:

☐ Request For Corrected Filing Receipt (Missing Inventor)

☐ 3 total pages, Including this page

is being transmitted to the United States Patent and Trademark Office via facsimile addressed to:

Commissioner for Patents Fax number: 703-872-9306

on: January 14 2004.

Judith M. Anderson

(Type or print name of person transmitting paper)

Signature of person transmitting paper)

MAR - 8 2007

PAGE 1/3 \* RCVD AT 1/14/2004 11:30:19 AM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/0 \* DNIS:8729306 \* CSID:920 721 0279 \* DURATION (mm·ss):00 46

#### **PATENT**

## In the United States Patent and Trademark Office

In re application of:

Jesse James Pasterski et al.

Group No: 1722

Serial No.:

10/666,367

Examiner: Unknown

Confirmation No:

8510

Docket

18715

Filed:

September 19, 2003

Date:

January 14, 2004

For:

MULTI-SEGMENTED EMBOSSING

APPARATUS AND METHOD

#### REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

> 1. 2. 3.

2.	There is	an error	with respect	to the	following	data which	ı ie

incorrectly entered

and/or

X omitted

**ERROR IN** 

**CORRECT DATA** 

Applicant's Name
 Applicant's Address
 Title
 Filing Date

5. Serial Number

6. Toreign/PCT Application Reference 7. N Other

Applicant Yung-Kun Chen missing from Filing Receipt dated

12/12/03.

Address of missing Applicant: 5F, No. 6, 141 Lane, Fu-De 1 Road, Hsichih Hsiang, Talpei County, Taiwan, Republic of China

PAGE 2/3\* RCVD AT 1/14/2004 11:30:19 AM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/0 \* DNIS:8729386 \* CSID:920 721 0279 \* DURATION (mm-ss):00-46

3.	3. (complete the following applicable item A or B)				
	A.		The correction(s) is/are not due to any error by applicant and no fee is due		
	OR				
	B.		At least one of the above corrections is due to Applicant's error and the fee therefor under 37 C.F.R. 1.19(h) of \$25.00 is paid as follows:		
			Enclosed is a check for \$25.00		
			Charge Account No. 11-0875 \$25.00		
Enclosed is a duplicate of this request for accounting purposes.					
Respectfully submitted.					
KIMBERLY-CLARK WORLDWIDE, INC. 401 North Lake Street Neenah, Wisconsin 54956					
Youl year					
Paul Y. Yee Registration No. 29,460 Telephone: 920-721-2435					
CERTIFICATE OF FACSMILLE					

I, Judith M. Anderson, hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being facsimile transmitted to the U.S. Patent and Trademark Office on January 14, 2004.